

FACTS

WHAT DOES TOS ADVISORS, LLC (TOS) DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

Social Security number

Date of birth

Account balances

Physical address

Income and net worth

Transaction history, and more.

How?

All financial companies need to share client's personal information to run their everyday business. In the section below, we list the reasons financial companies can share their client's personal information; the reasons TOS chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does TOS share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
For our marketing purposes— to offer our products and services to you	NO	N/A
For joint marketing with other financial companies	NO	N/A
For our affiliates' everyday business purposes— information about your transactions and experiences	NO	N/A
For our affiliates' everyday business purposes— information about your creditworthiness	NO	N/A
For our affiliates to market to you	NO	N/A
For nonaffiliates to market to you	NO	N/A

To limit our sharing

■ Visit us online: **tos-advisors.com** or **Mail the form below**

If you are a *new* customer, we can begin sharing your information 30 days from the date we sent this notice. When you are *no longer* our customer, we continue to share your information as described in this notice.

However, you can contact us at any time to limit our sharing.

Questions?

Call 713-822-0589 or go to tos-advisors.com



Mail-in Form

Leave Blank OR
If you have a joint account, your choice(s) will apply to everyone on your account unless you mark below.

☐ Apply my choices only to me]

Mark any/all you want to limit:

☐ Do not share information about my creditworthiness with your affiliates for their everyday business purposes.

☐ Do not allow your affiliates to use my personal information to market to me.

☐ Do not share my personal information with nonaffiliates to market their products and services to me.

Name		Mail to: TOS Advisors, LLC 10000 Memorial Dr Suite 100 Houston, TX 77024
Address		
City, State, Zip		
[Account #]		

Who we are

Who is providing this notice?

TOS Advisors, LLC

What we do

How does TOS protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does TOS collect my personal information?

We collect your personal information, for example, when you

- Authorize us to receive data from your custodians
- Tell us about your net worth
- Add us as an interested party for your investments
- Begin a new relationship with a financial services provider
- We also collect your personal information from other companies that are service providers, such as custodians.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

Nonaffiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

Other important information

